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DATE MAILED: 12/19/2003

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/596,784 06/19/2000 19603/3296 (CRF D-2098B) Adam J. Bogdanove 3745 7590 12/19/2003 EXAMINER Michael L Goldman BUGAISKY, GABRIELE E Nixon Peabody LLP Clinton Square ART UNIT PAPER NUMBER P O Box 31051 1653 Rochester, NY 14603

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary

Application No.	Applicant(s)	
09/596,784	BOGDANOVE ET AL.	
Examiner	Art Unit	
Gabriele E. BUGAISKY	1653	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.

- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.

 If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.

 Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).

 Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any

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earned patent term adjustment. See 37 CFR 1.704(b). Status
1) Responsive to communication(s) filed on 9/30/2003, 4/04/2003.
2a)☐ This action is FINAL . 2b)⊠ This action is non-final.
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.
Disposition of Claims
4) Claim(s) 17-28,38 and 39 is/are pending in the application.
4a) Of the above claim(s) is/are withdrawn from consideration.
5) Claim(s) is/are allowed.
6)⊠ Claim(s) <u>2,17,38 and 39</u> is/are rejected.
7) Claim(s) is/are objected to.
8) Claim(s) are subject to restriction and/or election requirement.
Application Papers
9) The specification is objected to by the Examiner.
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.
Priority under 35 U.S.C. §§ 119 and 120
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No
3. Copies of the certified copies of the priority documents have been received in this National Stage
application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received. 13)⊠ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application
since a specific reference was included in the first sentence of the specification or in an Application Data Sheet 37 CFR 1.78.
a) \square The translation of the foreign language provisional application has been received.
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific
reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
Attachment(s)
1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) Notice of Informal Patent Application (PTO-152)
3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 6) Other:

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DETAILED ACTION

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 9/30/2004 has been entered.

Claim Rejections - 35 USC § 112

The rejection of Claims 17, 19 and 38-39 under 35 U.S.C. 112, first paragraph for scope of enablement is withdrawn, based upon the amendment.

The rejection of Claims 17, 19 and 38-39 under 35 U.S.C. 112, second paragraph is withdrawn, based upon the amendment.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

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Claims 20-28 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-4, 7-14 of U.S. Patent No. 6624139.

Although the conflicting claims are not identical, they are not patentably distinct from each other because both sets of claims are directed to methods of use of hypersensitive response elicitor and the recited method steps appear identical. The instant *Erwin* protein and homologues are disclosed in the patent as useful in the methods of its claims; indeed the claims encompass the use of the instant protein (e.g., claim 3).

Claims 17-19 and 38-39 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1, 93 and 94 of copending Application No. 09/879248. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instant *Erwinia* protein has the recited properties of the claimed protein of the copending application: 2 hypersensitive response eliciting domains which are each are an acidic portion of at least 10 amino acids and a pI below 5, joined to an alpha helix; this identity is discussed in the copending application (page 11 lines 30-33 and p. 12, lines 1-20.) It is noted that the copending application does not yet appear to have distinguished its claimed proteins over that of the prior art.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Conclusion

No claims are allowed.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gabriele E. BUGAISKY whose telephone number is (703)308-4201; this number will be (571) 272-0945 after mid-January 2004. The examiner can normally be reached on Tu & Th 8:15 AM- 2 PM; We. & Fr 8:15 AM-1:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher SF Low can be reached on (703) 308-2923. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 308-0196.

Gabriele E. BUGAISK Primary Examiner

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12/11/2003